AO 120 (Rev. 08/10)

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

DOCKET NO. DATE FILED U.S. DISTRICT COURT	*	· ·	15 U.S.C. § 1116 you are hereby advised that a court action has been trief of Tayor. Wasa Division
DOCKET NO. 6:22-ex-00996 September 22, 2022 Western District of Texas - Waco Division PLAINTIFF AdaptFlow Technologies LLC PATENT OR TRADEMARK OR TRADEMARK 1 7,120,034 10/10/2006 AdaptFlow Technologies LLC 2 7,206,494 04/17/2007 AdaptFlow Technologies LLC 3 7,340,528 03/04/2008 AdaptFlow Technologies LLC 4 7,539,012 05/26/2009 AdaptFlow Technologies LLC In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED NCLUDED BY Amendment Answer Cross Bill Other Pleading PATENT OR TRADEMARK 1 2 3 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			
### DEFENDANT AdaptFlow Technologies LLC Roku, Inc. PATENTOR TRADEMARK NO. DATE OF PATENT OR TRADEMARK HOLDER OF PATENT OR TRADEMARK 1 7,120,034 10/10/2006 AdaptFlow Technologies LLC 2 7,206,494 04/17/2007 AdaptFlow Technologies LLC 3 7,340,528 03/04/2008 AdaptFlow Technologies LLC 4 7,539,012 05/26/2009 AdaptFlow Technologies LLC 5 8,447,162 05/21/2013 AdaptFlow Technologies LLC In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED BY		• — •	
PAINTIFF AdaptFlow Technologies LLC PATENT OR TRADEMARK NO. 1 7,120,034 10/10/2006 AdaptFlow Technologies LLC 2 7,206,494 04/17/2007 AdaptFlow Technologies LLC 3 7,340,528 03/04/2008 AdaptFlow Technologies LLC 4 7,539,012 05/26/2009 AdaptFlow Technologies LLC AdaptFlow Technologies LLC In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED BY Amendment Answer Cross Bill Other Pleading PATENT OR TRADEMARK NO. OR TRADEMARK 1 2 3 4 5 In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT			
PATENT OR TRADEMARK ON TRADEMARK HOLDER OF PATENT OR TRADEMARK 1 7,120,034 10/10/2006 AdaptFlow Technologies LLC 2 7,206,494 04/17/2007 AdaptFlow Technologies LLC 3 7,340,528 03/04/2008 AdaptFlow Technologies LLC 4 7,539,012 05/26/2009 AdaptFlow Technologies LLC 5 8,447,162 05/21/2013 AdaptFlow Technologies LLC In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED Y Amendment Answer Cross Bill Other Pleading PATENT OR TRADEMARK OR TRADEMARK 1 OR TRADEMARK 1 In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT		September 22, 2022	
TRADEMARK NO. OR TRADEMARK 1 7.120,034 10/10/2006 AdaptFlow Technologies LLC 2 7.206,494 04/17/2007 AdaptFlow Technologies LLC 3 7.340,528 03/04/2008 AdaptFlow Technologies LLC 4 7.539,012 05/26/2009 AdaptFlow Technologies LLC 5 8,447,162 05/21/2013 AdaptFlow Technologies LLC In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED BY Amendment Answer Cross Bill Other Pleading PATENT OR TRADEMARK HOLDER OF PATENT OR TRADEMARK 1			
2 7,206,494 04/17/2007 AdaptFlow Technologies LLC 3 7,340,528 03/04/2008 AdaptFlow Technologies LLC 4 7,539,012 05/26/2009 AdaptFlow Technologies LLC 5 8,447,162 05/21/2013 AdaptFlow Technologies LLC In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED BY Amendment Answer Cross Bill Other Pleading PATENT OR TRADEMARK HOLDER OF PATENT OR TRADEMARK 1 2 3 4 5 5			HOLDER OF PATENT OR TRADEMARK
3 7,340,528 03/04/2008 AdaptFlow Technologies LLC 4 7,539,012 05/26/2009 AdaptFlow Technologies LLC 5 8,447,162 05/21/2013 AdaptFlow Technologies LLC In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED BY Amendment Answer Cross Bill Other Pleading PATENT OR TRADEMARK HOLDER OF PATENT OR TRADEMARK 1 2 3 4 5 In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT	1 7,120,034	10/10/2006	AdaptFlow Technologies LLC
4 7,539,012 05/26/2009 AdaptFlow Technologies LLC In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED BY	2 7,206,494	04/17/2007	AdaptFlow Technologies LLC
Section Sect	3 7,340,528	03/04/2008	AdaptFlow Technologies LLC
In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED BY Amendment Answer Cross Bill Other Pleading PATENT OR TRADEMARK NO. OR TRADEMARK HOLDER OF PATENT OR TRADEMARK 1 2 3 In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT	4 7,539,012	05/26/2009	AdaptFlow Technologies LLC
DATE INCLUDED INCLUDED BY	5 8,447,162	05/21/2013	AdaptFlow Technologies LLC
PATENT OR TRADEMARK NO. DATE OF PATENT OR TRADEMARK 1 2 3 4 In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT Answer Cross Bill Other Pleading HOLDER OF PATENT OR TRADEMARK DECISION/JUDGEMENT			e following patent(s)/ trademark(s) have been included:
TRADEMARK NO. OR TRADEMARK 1 2 3 4 5 In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT HOLDER OF PATENT OR TRADEMARK Let the patent of the pa	DATE INCLUDED	<u> </u>	lment Answer Cross Bill Other Pleading
2 3 4 5 In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT			HOLDER OF PATENT OR TRADEMARK
3 4 5 In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT	1		
In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT	2		
In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT	3		
In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT	4		
DECISION/JUDGEMENT	5		
		ve—entitled case, the following	decision has been rendered or judgement issued:
CLERK (BY) DEPUTY CLERK DATE	DECISION/JUDGEMENT		
	CLERK	(BY	DATE DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 (Rev. 08/10)

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

filed in the U.S. Dis		5 U.S.C. § 1116 you are hereby advised that a court action has been ict of Texas - Waco Division on the following	
		involves 35 U.S.C. § 292.):	
DOCKET NO.	DATE FILED	U.S. DISTRICT COURT	
6:22-cv-00996	September 22, 2022	Western District of Texas - Waco Division	
PLAINTIFF		DEFENDANT	
AdaptFlow Technology	ogies LLC	Roku, Inc.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1 8,667,068	03/04/2014	AdaptFlow Technologies LLC	
2 9,838,757	12/05/2017	AdaptFlow Technologies LLC	
3 10,015,064	07/03/2018	AdaptFlow Technologies LLC	
4			
5			
		following patent(s)/ trademark(s) have been included:	
DATE INCLUDED	INCLUDED BY Amendn	nent Answer Cross Bill Other Pleading	
PATENT OR	DATE OF PATENT	HOLDER OF PATENT OR TRADEMARK	
TRADEMARK NO.	OR TRADEMARK		
TRADEMARK NO.			
TRADEMARK NO.			
TRADEMARK NO. 1 2			
TRADEMARK NO. 1 2 3			
TRADEMARK NO. 1 2 3 4 5	OR TRADEMARK	ecision has been rendered or judgement issued:	
TRADEMARK NO. 1 2 3 4 5	OR TRADEMARK		

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy